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Hydrolysis of acetals in water under hydrothermal conditions

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Abstract—A simple method for the hydrolysis of acetals and ketals was accomplished in neutral water or aqueous media by hydrothermal treatment without using acidic reagents. The deacetalization reaction was effectively accelerated in the presence of calcium chloride. Because no acidic catalysts were employed, neutralization of the reaction mixture was not necessary after the reaction. This sequence was successfully applied to the hydrolysis of chitosan, a biodegradable polyaminosaccharide.

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Formations of acetals are valuable not only as synthetic intermediates but also as common methods for the protection and the isolation of polyols and carbonyl compounds.^{1,2} Although a number of procedures have been developed for deacetalization, most of these methods need acidic media or oxidative conditions.^{3,4} Liquid water in the sub- or supercritical region is an environmentally friendly solvent, and minimizes the utilization of acidic, basic, oxidative reagents in the decomposition of polymers and organic wastes.^{5,6} Synthetic utility of the sub- or supercritical water has been recently reported.^{7–9} Because hydrothermal conditions at 393– 453 K do not enhance undesired fragmentation reactions, and were available by a relatively cheaper experimental device compared with that for the supercritical study;10,11 we have tried to transform several organic compounds in neutral water under hydrothermal conditions and found that acetals and ketals were hydrolyzed to give the corresponding hydroxy and carbonyl compounds. Furthermore, we report the application of hydrothermal methods to partial hydrolysis of chitosan, a natural polyaminosaccharide. 12,13

As shown in Scheme 1, treatment of 1,4-dioxaspiro-[4.5]decan-8-one (1a) in distilled water at 453 K gave 1,4-cyclohexanedione (2a) in 62% yield along with recovered 1a (31%). Comparison of entry 1 with entries 2-4 in Table 1 revealed that utilization of calcium

chloride as an additive improved the transformation

and that 0.5 mol equivalent of calcium chloride was

enough. Although employment of sodium chloride

(entry 5) as an additive gave 2a in the lower yield,

barium chloride (entry 6) was as effective as calcium

chloride. Among entries 3, 7, and 8 in Table 1, treatment of 1a at 453 K gave 2a in better yield compared

with the treatment at 433 or 473 K. Although the

reaction mechanism has not been clarified, the larger

ionic product in the subcritical region may permit a

sufficient supply of H⁺ for the acid catalyzed hydrolysis

of acetals, while dissociation of water provides an equal amount of H⁺ and ⁻OH. ^{14,15} According to the previous

report, 16 calcium chloride might play as a weak Lewis

acid, which activates the acetal group. As shown in

entries 9-11 in Table 1, dimethyl acetal 1b, diethyl

acetal 1c, and diethyl ketal 1d were also hydrolyzed in

high yields. Tetrahydropyranyl moiety in 3a was

removed to give a primary alcohol 4a (entry 12 in Table

1). Also in cases of a secondary alcohol and a phenol

(entries 13 and 14 in Table 1), deprotection of hydroxy

groups was demonstrated in good yields. In contrast to the ester hydrolysis in sub- or supercritical water, 8,15 the

ester group in 3c was retained, and the acetal group in

3c was selectively hydrolyzed under hydrothermal

Scheme 1.

conditions.

Distilled water

453 K, 1.02 MPa, 20 min

1a

Distilled water

453 K, 1.02 MPa, 20 min

62% yield

Recovery

Keywords: acetals; ketals; hydrolysis; deprotection; chitosan.

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Table 1. Hydrolysis of acetals under hydrothermal conditions^a

Entr	y Substrate	•	Solvent	_	•	are Produc	t	Isolated
			/	mol equiv	/ K			yield / %
1	1a		H_2O	0	453	2a		62 b
2	1a		H_2O	0.1	453	2a		89
3	1a		H_2O	0.5	453	2a		94
4	1a		H_2O	2.0	453	2a		92
5	1a		H_2O	0.5 c	453	2a		85
6	1a		H_2O	0.5 d	453	2a		96
7	1a		H_2O	0.5	433	2a		22 e
8	1a		H_2O	0.5	473	2a		81
9	OMe	1b	H ₂ O/EtOH	0.5	453	O~0	2b	90
10	OEt	1c	H ₂ O/1,4-dioxane	0.5	453	⟨ → ⟩ 5 = 0	2c	97
11	OEt	1d	H ₂ O/1,4-dioxane	0.5	453		2d	92
12	(+)50°0	3a	H ₂ O/1,4-dioxane	0.5	453	(→) ₅ OH	4a	96
13	√	3b	H ₂ O/1,4-dioxane	0.5	453	(→) ₄ OH	4b	94
14	MeO OH	3c	H ₂ O/EtOH 2/3	0.5	453	MeO OH	4c	94

^a Substrate: 10 mg/ml, 10 ml; hydrothermal conditions: 453 K, 1.01 MPa, 20 min. Before the reaction, if the apparent pH* was 6.0 – 6.9, the initial pH* was adjusted to 7.0 by adding aqueous NaOH, where pH* means pH of a mixture of water and organic solvent and was not corrected. ^b Recovery of 1a, 31%. ^c NaCl was used instead of CaCl₂. ^d BaCl₂ was used instead of CaCl₂. ^e Recovery of 1a, 70%.

In a typical procedure, a solution of 1,1-diethoxy-cyclododecane (1d) (100 mg, 0.39 mmol) and calcium chloride dihydrate (29 mg, 0.20 mmol) in a mixture of distilled water and 1,4-dioxane (1:4 (vol/vol), 10 ml) was packed in a 20-ml volumetric bottle sealed by a silicone cap. Hydrothermal treatment of the sample in the bottle was performed in an airtight-autoclave HTP-50/250 (HISAKA WORKS Ltd.). Based on the temperature program, the bottle was warmed from room temperature to the reaction temperature in 20 min, and the temperature maintained for 20 min (453 K, 1.01 MPa). Then the reaction mixture was cooled down to room temperature in 5 min. Extractive workup followed by silica gel column chromatography gave cyclododecanone (65 mg, 92% yield).

This method was successfully applied to the hydrolysis of chitosan, which has been industrially produced from chitin, a β-(1-4)-linked natural polysaccharide composed of 2-acetoamido-2-deoxy-β-D-glucopyranose (*N*-

acetyl-D-glucosamine) residues, by the deacetylation reaction.¹⁷ Although chitosan could be hydrolyzed by acid treatment or enzymatic hydrolysis, ^{18,19} in the former method it is difficult to control the molecular weight, and the latter method is very expensive. As shown in Scheme 2, commercially available chitosan (5) (Chitosan 10B from Funakoshi Co., Ltd.; degree of deacetylation, 96%; weight averaged molecular weight (*Mw*), 630000; and number averaged molecular weight (*Mn*), 160000) was suspended in distilled water (8 mg/ml, 4 ml), and then subjected to hydrothermal treat-

Scheme 2.

ment (453 K, 1.01 MPa, 10 min). The gel permeation chromatography analysis of the hydrolyzate 6 using pullulan as the standard revealed that the molecular weight of the chitosan decreased to Mw 105000 and Mn 32000. It is noteworthy that chitoan, which was hydrolyzed by hydrochloric acid to give considerable amount of monomer and dimer, was hydrothermally hydrolyzed in *endo* manner. Because chitosans of relatively low molecular weights show better solubility than the high molecular weight homologs, and are useful as Pd-carriers for electroless plating technology,²⁰ the present method is promising as a simple and convenient way to prepare this class of chitosans.

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